

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul L. Valint, et al.
Application No.: Not Yet Assigned
Filed: November 8, 2001
Title: Surface Treatment of Silicone Medical Devices with Reactive
Hydrophilic Polymers
Art Unit: 1712
Docket No.: P02785d1

JC986 U.S. PTO
10/005560
11/08/01

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#2

Certification Under 37 CFR 1.10

I hereby certify that this 37 CFR 1.53(b) request and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, November 8, 2001, in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EI748257818US addressed to the Director of the United States Patent and Trademark Office, Washington, DC 20231, Box Division.


Suzanne V. Saracen

INFORMATION DISCLOSURE STATEMENT

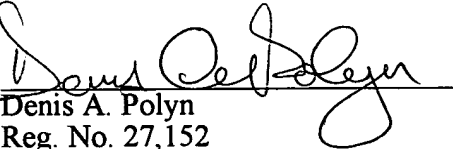
Director of the United States
Patent and Trademark Office
Washington, DC 20231
Attn: Box Division

Dear Sir:

Attached to this statement is a list of references relevant to the consideration of the patent application identified above, which were cited by both Applicant and Examiner in parent serial number 09/315,620. Accordingly, copies of the references are not provided herewith pursuant to 37 CFR 1.98(d).

This statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.

Respectfully submitted,


Denis A. Polyn
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Dated: November 8, 2001

CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Paul L. Valint, Jr., et al.

Application No.: 09/315,620

Filed: May 20, 1999

Entitled: Surface Treatment of Silicone Medical Devices with Hydrophilic Polymers

Bausch & Lomb Incorporated, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 009981, Frame 0109, or for which a copy thereof is attached.

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

November 8, 2001
Date


Signature

Denis A. Polyn
Typed or printed name

27,152
Registration No.

Sr. Vice President and Asst. General Counsel-Patents
Title